

CASE STUDY

Crypto Company Passes Investor and Regulatory Scrutiny for Series B

Company profile

- Crypto/DeFi, late Series A, ~35 employees, EU-registered, global users
- Processing financial transaction data, KYC/AML identity data, wallet information
- DPO commercially prudent given the nature and scale of processing and investor expectation

The problem

Series B investors were asking detailed questions about GDPR posture, KYC data retention, and cross-border transfers. Separately, a supervisory authority had made informal inquiries about data practices. The company needed a named DPO and defensible documentation before the next interaction.

What we did (over 3 months)

- Privacy audit for financial, KYC, and crypto-specific processing
- DPO appointed, DPIA for KYC identity verification
- Data retention framework balancing GDPR minimization with AML record-keeping
- Cross-border transfer assessment (SCCs + TIA for key routes)
- Investor-ready documentation, regulatory response framework

Results

- Series B closed on schedule, all privacy questions answered from existing docs
- Regulatory inquiry response coordinated through the DPO as contact point
- Data retention framework balances GDPR and AML clearly
- Documentation reusable for future rounds and regulatory interactions

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