

RESOURCE

Privacy Readiness Checklist for Fundraising

What investors ask and what you need ready before your Series A or B

Common investor diligence questions

- Do you have a named privacy lead or DPO?
- What is your GDPR compliance posture?
- How do you handle data subject requests?
- Have you had any breaches or material incidents?
- Are there open regulatory complaints or unresolved compliance issues?
- How do you handle cross-border data transfers?
- If you use AI/ML, what is your governance framework?

Foundations (must-have)

- Privacy policy reflecting actual data practices
- RoPA, DPA template, sub-processor list with DPAs
- Cookie consent (opt-in for EU), DSAR process

Maturity signals (differentiators)

- Named DPO appointed
- Breach response plan, DPIA for high-risk processing
- Appropriate transfer mechanisms (SCCs, DPF, or other safeguards where applicable)
- Staff privacy training, vendor risk assessment process

Advanced (later rounds)

- AI governance framework, multi-jurisdictional docs, privacy-by-design process, board reporting

Timeline

Many companies build core deliverables in 4 to 6 weeks. Start 2 to 3 months before your fundraise. If you are already in diligence, core items can be fast-tracked in 2 to 3 weeks.

Ready to talk? Book a free scoping call: engagecompliance.co/contact

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